

CAIA[®] PROGRAM March 2025

Chartered Alternative Investment AnalystSM

- LEADING GLOBAL PROVIDER OF CAIA EXAM PREP
- EXPERT TRAINER
- COMPREHENSIVE STUDY MATERIALS
- UNLIMITED ACCESS TO ONLINE VIDEOS



Want a Free Welcome Gift?*


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*Students are eligible to enjoy this offer ONCE only.

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 www.kaplan.com.hk/kfm

 hkcaia@kaplan.com



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It is a matter of discretion for individual employers to recognize any qualification to which this course may lead.

Whatsapp Chat
With Us Now!



THE CAIA® PROGRAM

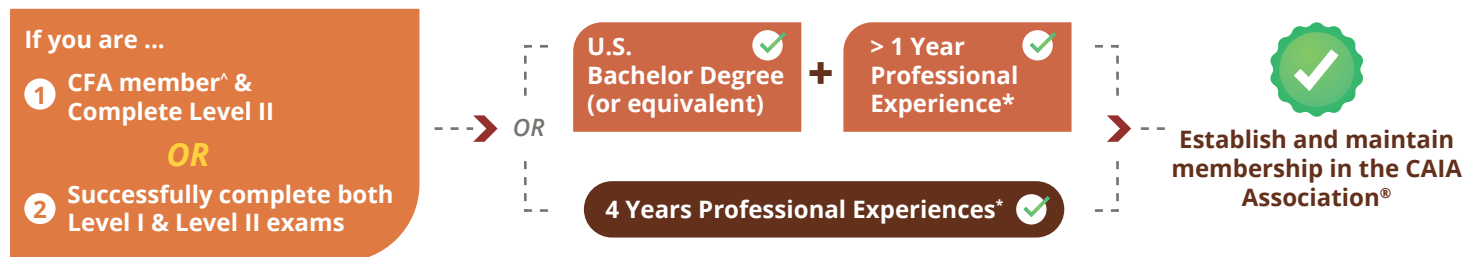
Details



^EXAM WAIVER

CFA holders may be eligible for a CAIA Level I exam waiver toward earning the CAIA designation and enter the program as a Level II Candidate!

CERTIFICATION REQUIREMENTS



*Professional Experience = full-time employment within the regulatory, banking, financial or related fields

EXAM CERTIFICATION STRUCTURE & TOPICS

LEVEL I

Session 1

2 hrs

100

Multiple-Choice Questions

Session 2

2 hrs

100

Multiple-Choice Questions

Exam Topic

Approximate Weight

Professional Standards and Ethics	15% - 25%
Introduction to Alternative Investments	20% - 28%
Real Assets	11% - 17%
Private Equity	6% - 10%
Private Debt	10% - 15%
Hedge Funds	11% - 17%
Digital Assets	4% - 8%
Funds of Funds	2% - 6%

LEVEL II

Session 1

2 hrs

100

Multiple-Choice Questions

Session 2

2 hrs

3

Essay Type Questions

Exam Topic

Approximate Weight

Exam Topic	Approximate Weight	
	Multiple Choice	Constructed Response
Emerging Topics	0%	10%
Universal Investment Considerations	0%	10%
Institutional Asset Owners	8% - 12%	0% - 10%
Asset Allocations	8% - 12%	0% - 10%
Risk and Risk Management	8% - 12%	0% - 10%
Methods and Models	8% - 12%	0% - 10%
Assessing Alternative Investments	8% - 12%	0% - 10%
Due Diligence and Selecting Managers	8% - 12%	0% - 10%
Volatility and Complex Strategies	8% - 12%	0% - 10%
Total	70%	30%

EXAM REGISTRATION DATES

EXAM SESSION

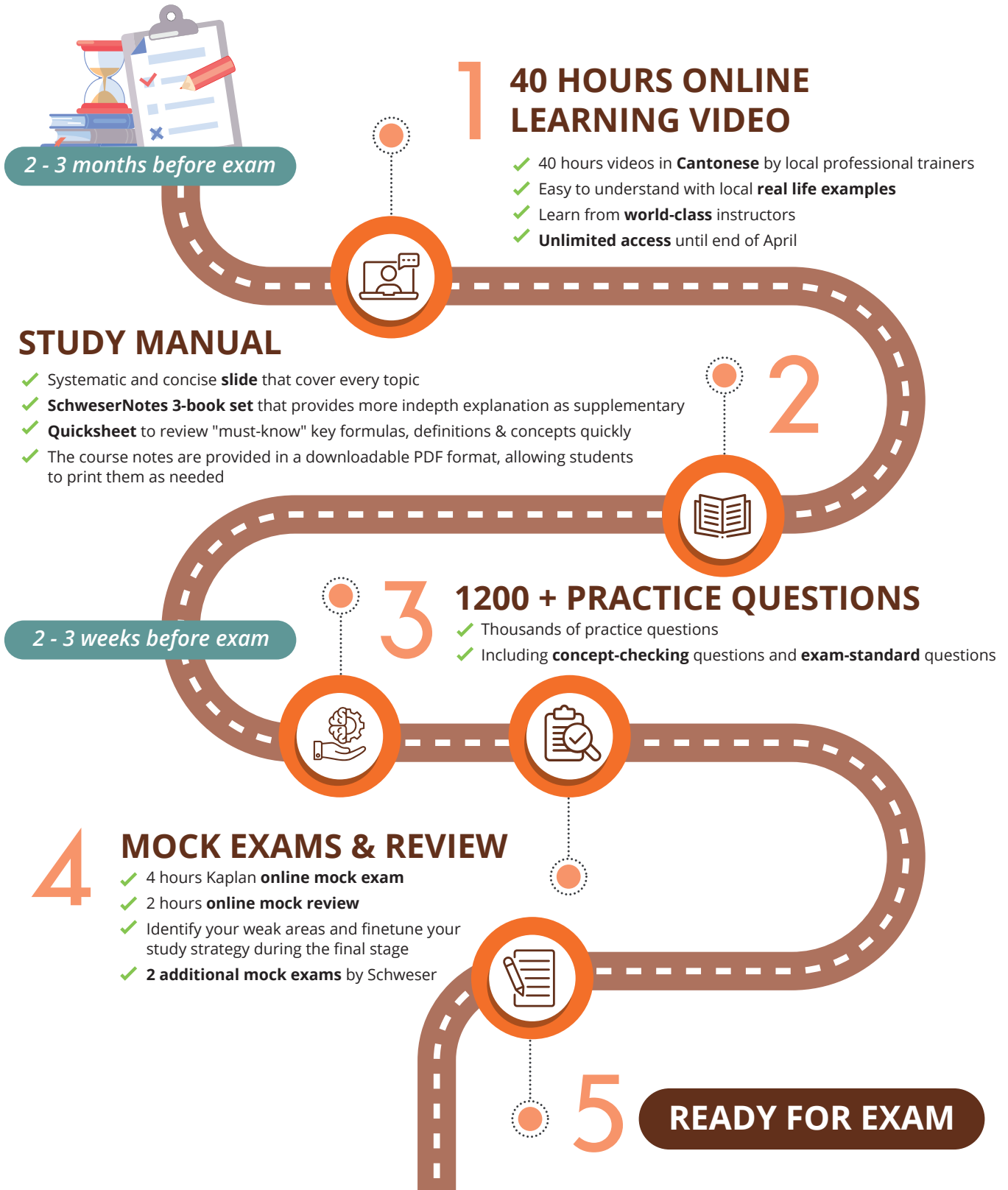


Early	14 Oct 2024 - 09 Dec 2024 (all in New York Time)	LEVEL I	03-14 Mar 2025
Standard	14 Dec 2024 - 03 Feb 2025 (all in New York Time)	LEVEL II	17-28 Mar 2025

Candidates are reminded to always refer to the examination body's official website for the most updated information. Information contained herein about exam registration deadlines is correct at the time of brochure publication and is provided "as is," without any conditions, warranties, or other terms of any kind. Kaplan does not warrant the accuracy of the data which may be subject to changes. For more details about the exam, please visit www.caia.org.

KAPLAN INTENSIVE ONLINE LEARNING COURSE

Our Intensive Course guides you through the entire learning process from knowledge building (**Kaplan Online Program**) to performance measure (**Kaplan Online Mock Exam & Review**), to knowledge reinforcement with supplementary resources (**Schweser Essential Package**), which equips you for exam-day success.



Enroll early to get your Schweser material

Start your preparation before watching our online videos



For product availability, please scan QR code or visit <https://bit.ly/48NP5kq>.



TRAINER PROFILE & LEARNING SCHEDULE

LARRY YUEN



**MSc Risk Management Science (CUHK),
MSc (ECom&IComp) (HKU), MFE (Lond),
CFA, CAIA, FCA**

- All-round trainer with extensive experience in finance, risk management and accounting
- Over 20 years of experience in the financial industry spanning across wealth and capital markets
- Held senior positions at Pictet, Citi, Deutsche Bank, ABN Amro, and Schroder
- Expertise in portfolio management and fund management
- Product specialist of mutual funds, hedge funds, and private equities
- Experienced trainer in delivering master-level finance courses

Level I ⌚ 40hrs

▶ Course Topics Overview

Topic	Topic Name
1	Professional Standards and Ethics
2	Introduction to Alternative Investments
3	Real Assets
4	Private Equity
5	Private Debt
6	Hedge Funds
7	Digital Assets
8	Funds of Funds

▶ Mock Exam Schedule

Level I	Release Date	Day	Attempt Allowed
Online Mock Exam (Session 1 & 2)	15/02/2025	SAT	3
Online Mock Review	21/02/2025	FRI	-

40 hours of video will be released in phases from late Dec 2024 to late Jan 2025

STEPHEN WONG



**MFin (Cambridge), CAIA, FRM,
CFA Cert. in ESG Investing**

- Trained over 800 financial industry practitioners and professional exam candidates in aggregate
- Over 16 years of professional experience in investment and risk management covering both traditional and alternative asset classes
- Expertise in hedge fund strategies, credit research, due diligence, ESG investing, structured products, and liability-driven investment
- Proficient at highlighting important concepts and making complex ideas understandable
- Proven strategies and techniques for tackling professional exams
- Master of Finance from the University of Cambridge
- Passed all CAIA, FRM and CFA Institute's Certificate in ESG Investing exams on his first attempt

Level II ⌚ 40hrs

▶ Course Topics Overview

Topic	Topic Name
1	Institutional Asset Owners
2	Asset Allocation
3	Risk and Risk Management
4	Methods and Models
5	Assessing Alternative Investments
6	Due Diligence and Selecting Managers
7	Volatility and Complex Strategies
8	Universal Investment Considerations
9	Emerging Topics




▶ Mock Exam Schedule

Level II	Release Date	Day	Attempt Allowed
Online Mock Exam (Session 1 & 2)	01/03/2025	SAT	3
Online Mock Review	07/03/2025	FRI	-

40 hours of video will be released in phases from late Dec 2024 to late Jan 2025

COURSE FEE

Enroll by 07 Dec 2024 to Save 26% on Intensive Course

	EARLY BIRD PRICE Level I / Level II	ORIGINAL PRICE Level I / Level II
<p>Intensive Course (Mar 2025 exam) includes</p> <div style="display: flex; justify-content: space-around; align-items: center;"> <div style="text-align: center;">  <p>Online Program</p> </div> <div style="text-align: center;">  <p>Mock & Review</p> </div> <div style="text-align: center;"> <p>FREE</p>  <p>Schweser Essential Package (\$3,900 / \$4,300)</p> </div> </div> <p>Our Intensive Course guides you through the entire learning process from knowledge building to performance measure, to knowledge reinforcement with supplementary resources, which equips you for exam-day success. The course notes are provided in a downloadable PDF format, allowing students to print them as needed.</p>	<p>HK\$12,500 <i>Enroll by 07/12/2024</i></p>	<p>HK\$16,900</p>

Interest-free Installments

Card holders of HSBC/SC VISA/Master card, and selected Amex Cards can enjoy: 3/6-month(HSBC/SCB) or 12-month(Amex) interest-free installment for fees of \$6,000 or above in single payment.

Credit cards bearing the American Express name, brand or logo issued by its licensees in Hong Kong are eligible for the Interest Free Installment Plan. Credit Cards, Corporate Cards, and US Dollars Cards issued in Hong Kong by American Express International, Inc. and all American Express Cards issued outside of Hong Kong are not eligible for this Interest-free Installment Plan. Please check with Kaplan for details.

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ONLINE PROGRAM IN CANTONESE

1 Learn in Your Language
Easy to understand concept and learn with real life examples!

2 Learn in Your Language
Easy to understand concept and learn with real life examples!

High Quality Audio

DVD-like Controls

Annotations of Formulae and Calculations



Full Motion Lecture

Scene-based Navigation

- Study in the comfort of your home anytime anywhere by viewing recorded lectures
- Tap into trainer support via email

KAPLAN SCHWESER STUDY PACKAGE



Schweser study solutions are concise, and efficient - keeping you engaged in the learning process. Schweser provides study plan comprised of video instruction, practice with plenty of questions, and retention tools to maintain top-of-mind awareness of key concepts.

			Premium Package	EssentialPlus Package	Essential Package
Level I			HK\$7,900	HK\$5,400	HK\$3,900
Level II			HK\$8,300	HK\$5,800	HK\$4,300
CORE	SchweserNotes three-book set - include Topic Quizzes	Print, eBook & Online	✓	✓	✓
	QuickSheet*	Online	✓	✓	✓
	SchweserPro™ Qbank	Online	✓	✓	✓
	Resource Library	Online	✓	✓	✓
	InstructorLink	Online	✓	-	-
INSTRUCTION	OnDemand Class	Online	✓	-	-
REVIEW	Secret Sauce*	Print, eBook & Online	✓	✓	-
	Online Mock Exam	Online	Mock exam 1-3	Mock exam 1-2 only	Mock exam 1-2 only
	OnDemand Review Workshop	Online	✓	✓	-
OTHER RESOURCES	PassProtection™	Online	✓	✓	✓

*eBook version is only available to review through VitalSource® for the SchweserNotes™, Secret Sauce®, and QuickSheet with limited access period. For more information regarding VitalSource®, please visit our FAQ page (https://www.schweser.com/faq?344-1_active=4) All online products will expire at the end of the month after the exam of your purchased semester. Kaplan Schweser releases a new version of products every year as the curriculum changes. Each Schweser study package comes with Kaplan Schweser Community.

The Schweser PassProtection™

Free
OF CHARGE
for a second chance

Get entire 2026 same version of exam prep package!

Receive the online study materials **FREE OF CHARGE** for your next exam sitting*.

You are eligible if you meet the following criteria:



Have previously purchased an Essential, EssentialPlus or Premium Package.



Are registered to retake your CAIA exam.

In order to enjoy the Schweser PassProtection™, you must attach the following documents for same level:

- Exam fail notice for same level / Proof of approval of Deferral from CAIA Association
- New exam registration notice for same level
- Schweser PassProtection™ Application Form

*Gain access of online study materials for another sitting within the same year or receive new package if you are retaking the exam in the next curriculum year.

KAPLAN SCHWESER PRODUCT DETAILS

SchweserNotes™	<p>More than just textbooks. This three-book set break down the CAIA program curriculum into bite-sized segments that help optimize your effort through efficient focus.</p>	
QuickSheet™	<p>This compact study tool summarizes key formulas, definitions, and concepts.</p>	
SchweserPro™ Qbank	<p>This intuitive study tool contains 900+ questions, custom quizzes, detailed explanations and performance metrics.</p>	
Schweser Mock Exams	<p>Schweser Mock Exam is designed to familiarize you with the actual CAIA exam.</p>	
OnDemand Class	<p>OnDemand Class that cover all readings and exam topics combines the structure and focus of classroom instruction with the convenience of prerecorded online class sessions.</p>	
OnDemand Review Workshop	<p>Retain critical information, and develop exam-day strategies in our OnDemand Review. Featuring prerecorded sessions of curriculum review with CAIA experts, you'll learn valuable problem-solving strategies and reinforce essential exam concepts.</p>	
Secret Sauce®	<p>A review of critical concepts and concise summaries of essential exam topics. Our ultimate guidebook to exam strategy with powerful summaries of key materials and insights on how to pass.</p>	

Other Online Features



Resource Library

A collection of online reference videos that will prepare you for your studies.



Instructor Link

Ask questions, and receive answers from an instructor at any time.



Kaplan Schweser Community

Access our community forum to ask questions, find answers, and engage in meaningful discussions with CAIA experts and your fellow candidates.



Schweser Product Availability:

<https://bit.ly/48NP5kq>

What Kaplan Schweser Customers Say



Well designed material I find the content very focused and well designed.

— Mr T

Great review and scheduling to keep you on track. Outside of the videos, the Q bank and being able to customize quizzes was the most beneficial part of the process for me.

— Aaron



TERMS AND CONDITIONS

Terms and Conditions are subject to change without notice. Please review the full and most updated version from our website www.kaplan.com.hk/kfm periodically. All matters and disputes will be subject to the final decision of Kaplan. These Terms and Conditions set out your entire agreement with Kaplan Financial for the particular course and supersede all previous agreements or understandings made (verbally or in writing) in relation to the course.

Enrollment Policy

1. Enrollments will only be recognized upon receipt of full fee payment and completed enrollment form before a course begins.
2. Prices are subject to change and we reserve the right to charge additional amounts to reflect any pricing changes taking effect before course commencement date(s).
3. Kaplan Financial reserves the right to cancel a course if enrollment is insufficient and/ or make alterations regarding trainers, class locations, class schedules, topic sequence and time allocated to each topic should the circumstances so required.
4. Classroom courses will be delivered in person, unless there are health and safety measures or other unexpected situations that Kaplan is unable to ensure the safety of campus. If such situation occurs, the lessons maybe delivered online without providing face-to-face sessions.

Attendance Policy

5. Students are not allowed to transfer their enrollment, transfer class, or attend other courses without Kaplan's written or email approval.
6. Under all circumstances, only the enrolled and paid students can attend our lectures or use the student supporting services. Kaplan does not accept substitutes, in whole or in part, to obtain services or attend classes and do not accept any payment on the spot. If students see any suspicious act of illegal attendance during the class, please alert our staff.
7. Students who have entered into the Kaplan premises will be bound by Kaplan Financial's terms and conditions.

Attendance Certificate for CPT Purpose

8. For subjects relevant to the individual's function and which may help to enhance the performance of their job functions would meet CPT purpose. It is up to the employers or corporations to determine suitability of the course in meeting CPT requirement. Individuals are responsible for verifying with employers using course topics and schedule. It is a matter of discretion for individual employers to recognize any qualification to which this course may lead.
9. Within two months from the completion of the course, students who reviewed at least 70% of the Kaplan Online Program may submit a request for an attendance certificate. \$150 will be charged for successful issuance. Students will be notified to collect the proof at our centre in 14 working days.

Online Access

10. Online videos are to be used for students' personal use and benefit. Shared use of online service is prohibited. Never share your password with anyone. Access will expire on the end of the month after the exam of your enrolled semester.
11. After the enrollment is completed, we will acknowledge your enrollment within 7 working days by (1) providing you the official receipt, (2) emailing you the login information. If you do not hear from us, please check with us at +852 2526 3686 or email to hkcaia@kaplan.com.
12. Kaplan Financial does not warrant that the online videos will be uninterrupted or error-free. All online videos are provided on an "as is, as available" basis. A substitute video of another trainer, lectured in a different language and pace might be arranged.
13. You agree to meet the minimum system requirements set forth for Kaplan Financial's online requirement. It is a limited license to use the content until the end of the month after the exam of your enrolled semester. All online resources are to be used for the student's own personal use and benefit. Unauthorized use of the online resources may be subject to legal action.

Cancellation Policy

14. Online program is not eligible for refund, cancellation or extension of subscription period.
15. Expired subscription, students who have changed of exam date(s) are not eligible for refund, cancellation or extension of any kind, regardless of time spent in the course, time remaining, or whether or not users have accessed the course.
16. Cost of course notes and financial calculators are not refundable. Funds received but not utilized or refunded in accordance with these Terms and Conditions will be forfeited to Kaplan Financial.

Transferral and Deferral Policy

17. No transferral or deferral of the course is allowed.

Class Materials and Stationery

18. Class materials will be released in milestones. The course notes are available in PDF format, which can be downloaded and printed by students at your convenience. You are required to collect Schweser materials (only if you have enrolled) in person or you are welcome to arrange your own courier services.
19. All class materials must be collected within the same course semester.

Copyright Policy

20. All class materials are for the sole use by registered students only. All materials, in whole or in part, may not be reproduced, shared, lent, copied or transmitted in any format or by any means, electronic or mechanical, including photocopying, recording, or by any information storage and retrieval system, without written permission from Kaplan. The unauthorized duplication of class materials is a violation of global copyright laws.

Kaplan Schweser Purchase

21. Sales of all products are final, and non-returnable once order is placed and payment received.
22. Schweser study materials are protected by the laws of copyright of the United States and other countries throughout the world. Unauthorized creation, purchase, photocopy (i.e. pirated, illicit copies), scanning, use and transmitting of these materials, or portions thereof, in any form whatsoever, is a violation of the copyright law. Violators will be reported to the police and HK Customs and Excise Department where they may subject to criminal and civil liability.
23. The Schweser materials purchased are to be used for the purchaser's own personal use and benefit. Unauthorized commercial resale of Schweser materials is prohibited. Shared use of paper materials, and online access is prohibited. All products are non-transferrable. Users must not share the username and password with anyone. Schweser reserves all its rights in the event of a violation of this policy, which may include suspension of purchaser's privileges and enforcement of Schweser's right under law and equity.
24. After the order is successfully processed, you will receive login information assigned by Kaplan Schweser within 7 working days. It is a limited license to use the content only between the times of access is granted and the end of the month after the exam of your enrolled semester. Kaplan bears no responsibility to extend the access period, regardless of your actual exam date.
25. Schweser does not warrant that online access will be uninterrupted or error-free. Online access to www.schweser.com is provided on an "as is, as available" basis. You agree to meet the minimum system requirements set forth for Kaplan Schweser's electronic products.
26. Date of collection is subject to availability of different materials. Purchasers must present official receipt to collect the materials before the last exam day of the exam of your enrolled semester.
27. Repeating discounts cannot be combined with any other offers, discounts or promotions. Candidates must present the required supporting documents at the time order is placed.

Feedback Mechanism

28. Kaplan Financial encourages constructive feedback on all aspects about the quality of teaching and learning. Throughout the course, if students are not satisfied about our course or services, students are advised to write to the Course Director with full descriptions and evidence. Kaplan Financial will take reasonable and prompt action to try to resolve your concerns. Complaints that are lacking in substance and not made in good faith will not be taken.

Code of Conduct

29. It is the responsibility of every student to be aware of the Kaplan Hong Kong Code of Conduct's content as published in <http://www.kaplan.com.hk/code-of-conduct> and to abide by its provisions.

Education Bureau Required Disclaimer

30. It is a matter of discretion for individual employers to recognize any qualification to which this course may lead.

Personal Data Protection

31. If you do not wish to receive our offers and updates, please email us at hkmarketing.kf@kaplan.com to unsubscribe.



Kaplan Financial (HK) Limited

G/F to 3/F, E-Tech Centre, Nos 402-406 Hennessy Road, Wanchai, Hong Kong (Causeway Bay MTR Exit A)

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[kaplanhk_fm](https://www.instagram.com/kaplanhk_fm)

store.kaplan.com.hk

1 Personal Particulars ▶ *Must complete

I am a New Student Old Student

* (Mr/Mrs/Miss) Surname: _____ (Name on HKID or Passport) *Forename: _____

*Mobile/ Contact No.: _____ CAIA Candidate No.: _____

*HKID/ Passport No.: _____ (First 4 digits. i.e. A123) Nationality: _____ (This field must be completed if a passport no. is provided)

*Email: _____ Year of Birth: _____ (YYYY)
 I have reached the age of 18 or above

Company: _____ Position: _____

Postal Address: _____

2 Education ▶

Highest Education Achieved Bachelor's degree, Major in _____ Master's degree in _____

Doctoral degree in _____ Final year of bachelor's degree

Non-degree but have at least a Form 5 education with passes in Maths and English (Syllabus B)

Professional qualifications (if any) _____

3 Product Selection ▶	Part A & B. Kaplan Online Program	Part C. Schweser Essential Package	Early Bird Price	Full Price
Level I Intensive Course	<i>By Larry Yuen in Cantonese</i>	SchweserNotes™ Book 1-3 (Print, eBook & Online) Quicksheet (Print & eBook) Schweser Mock Exams (1-2) SchweserPro™ QBank Other online resources	On or before 07 Dec 24 <input type="checkbox"/> \$12,500	08 Dec 24 onwards <input type="checkbox"/> \$16,900
	Online Lecture (40hrs) & Online Mock Exam & Review (6hrs)			
Level II Intensive Course	<i>By Stephen Wong in Cantonese</i>	SchweserNotes™ Book 1-3 (Print, eBook & Online) Quicksheet (Print & eBook) Schweser Mock Exams (1-2) SchweserPro™ QBank Other online resources	On or before 07 Dec 24 <input type="checkbox"/> \$12,500	08 Dec 24 onwards <input type="checkbox"/> \$16,900
	Online Lecture (40hrs) & Online Mock Exam & Review (6hrs)			

Schweser Package				
Premium Package	OnDemand Class	Secret Sauce	<input type="checkbox"/>	Level I \$7,900
Essential Package	+ OnDemand Review Workshop InstructorLink	+ Schweser Mock Exams (3)	<input type="checkbox"/>	Level II \$8,300
EssentialPlus Package	OnDemand Review Workshop	Secret Sauce	<input type="checkbox"/>	Level I \$5,400
			<input type="checkbox"/>	Level II \$5,800
Essential Package	Quicksheet (Print & eBook) SchweserPro™ Qbank Resource Library	SchweserNotes™ Book 1-3 (Print, eBook & Online) + Schweser Mock Exams (1-2) PassProtection	<input type="checkbox"/>	Level I \$3,900
			<input type="checkbox"/>	Level II \$4,300
Others				
Texas Instruments BA-II Plus™ Financial Calculator			<input type="checkbox"/>	\$350

Total Payable

4 Method of Payment ▶

Cheque No _____ Cash EPS FPS PayMe
(payable to "Kaplan Financial (HK) Limited." Cheque should be received by Kaplan Financial 7 working days before course commencement.)

Credit Card Installment (Transaction over \$6,000 only. Must enroll in person. Do not send by fax/post)

Credit Card (full payment) VISA AMEX MasterCard

For Email, Fax and Post Order Only

Card Number _____ - _____ - _____ - _____ Expiry Date ____ / ____

Card Holder _____ Signature _____

Declarations

- I declare that all information provided in this form and all attached documents are, to the best of my knowledge, accurate and complete.
- I have understood and hereby agree to provide relevant proof of my academic qualifications and work experience upon request from Kaplan Financial at any time.
- I consent that I will abide by all the Rules, Regulations, and Code of Conduct of your company.
- I have read, understood and hereby agree to the terms and conditions stipulated on the brochure, enrollment form and on Kaplan Financial's website.
- I have met the system requirements of the eLearning platform and the testing result is satisfactory.
- I wish to receive Kaplan Information by email in the future.

Signature _____

Date _____



Important

In order to ensure your enrollment/order is processed, you are asked to ensure that you (1) read the details on the brochure and enrollment form, (2) provide all the information required and (3) submit all the necessary document required in support of your enrollment/ order. We strongly advise you to submit your enrollment/order form as early as possible. This will enable us to process it more quickly. Kaplan requires students to conduct themselves in accordance with the standards of their future professions. You can find students' responsibilities information at <https://www.kaplan.com.hk/code-of-conduct>.

Payment Methods

1) VISA/ Master / Amex Card

Payment can be made in person, by fax, email or by mail (see address at the bottom) or via online store.

Interest-free installment: For transaction over \$6,000. Payment has to be made in person. Cardholders of HSBC/SC VISA / Mastercard and selected Amex Cards can enjoy a 3/6-month (HSBC/SCB) or 12-month (Amex) interest-free installment for course fees of \$6,000 or above in single payment. The cardholder has to be the student himself/herself. Amex Cardholders, please call us first at +852 2526 3686 to check your credit card eligibility.

2) Cash / EPS

Fees can be paid by cash or EPS at our E-Tech Centre Campus.

3) Cheque

Fees can be paid by crossed cheque made payable to "Kaplan Financial (HK) Limited". Please mail the cheque and all required documents to us. Kaplan will not be responsible for any loss of payment sent by mail. If you are enrolling a course that will commence in less than 7 working days, you are advised against using cheque in view of delay arising from postage delay and bank clearance.

4) FPS / PayMe

Fees can be paid by FPS or PayMe at our E-Tech Centre Campus or via our online store (<https://store.kaplan.com.hk/>).

Online Program

To ensure your satisfactory viewing result, a system validation procedure is required before your enrollment is processed. Please view the demo link for at least 10 mins **on your computer which you will access Online program** (<https://bit.ly/3covGGDs>) and ensure your testing result is satisfactory. We will acknowledge your enrollment within 7 working days by (1) providing you the official receipt, (2) emailing you the login information. If you do not hear from us, please check with us at +852 2526 3686 or email to hkcaia@kaplan.com.

Course materials will be released in milestones. You are required to collect the course material including course slide pack and Schweser material (only if you have enrolled) in person or you are welcome to arrange your own courier services.

Personal Data Protection

- 1) Your personal data is collected and used by us for processing your application of admission, and for registration, administrative, verification, research, statistical and direct marketing purpose. We may also collect personal information to satisfy legal, government and statutory obligations. Provision of personal data is necessary, and without your personal data, we may not be able to provide you with services you require. We may share and transfer your personal data with other members of the Kaplan group and/or authorized third parties providing services to us in relation to the above purposes and/or other prescribed purposes as allowed by law from time to time. In all such circumstances, data will be treated in strict confidence.
- 2) Under the provisions of the Personal Data (Privacy) Ordinance, applicants have rights to request access to, and to request correction of, his or her personal data. Applicants wishing to amend his or her data should fill out the prescribed form, and submit it to Kaplan. Applicants may opt-out from receiving our direct marketing materials at any time.
- 3) If you do not wish to receive our offers and updates, please email us at hkmarketing.kf@kaplan.com to unsubscribe or tick the below box(es).
 - Unsubscribe from all Kaplan Financial emails
 - Unsubscribe from non-Financial Markets mailing list only
- 4) This clause has been translated into Chinese. If there is any inconsistency or ambiguity between the English version and the Chinese version, the English version shall prevail.

FOR OFFICIAL USE ONLY:

F-SO: _____ ST2000: _____ PY: _____ HK\$: _____ App#: _____ Date: _____ Handler: _____